

Notice of meeting of

Audit & Governance Committee

To:	Councillors Hyman (Chair), Holvey (Vice-Chair), Moore, R Watson, Jones, Scott and Hill
Date:	Wednesday, 4 October 2006
Time:	5.30 pm
Venue:	Guildhall

AGENDA

1. Declarations of Interest

At this point Members are asked to declare any personal or prejudicial interests they may have in the business on this agenda.

2. Public Participation

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the Panel's remit can do so. Anyone who wishes to register or requires further information is requested to contact the Democracy Officer on the contact details listed at the foot of this agenda. The deadline for registering is **Tuesday 3 October**, at **10:00 am**.

3. Exclusion of Press and Public

To consider excluding the public and press from the meeting during consideration of annexes 1, 2 and 3 of agenda item 11 – Annual Monitoring Report: Breaches and Waivers of Financial Regulations 2005/2006 on the grounds that they contain information relating to financial and business affairs. This information is classed as exempt under Paragraphs 3 of Schedule 12A to Section 100A of

the Local Government Act 1972, as amended by the Local Government (Access to information) (Variation) Order 2006.

4. Constitutional Amendment (Pages 1 - 4)

Members will consider a report which gives details of a constitutional amendment proposed by the Labour Group in accordance with the requirements of the Constitution for submission to Audit & Governance Committee.

5. Follow Up Review of Implementation of Internal Audit Recommendations (October 2005 - March 2006) (Pages 5 - 10)

Members will consider a report that sets out the progress made by departments in implementing recommendations made in final internal audit reports issued between October 2005 and March 2006, and other recommendations due to have been implemented in the period up to 31 August 2006. It also includes a proposed amendment to the process for following up internal audit recommendations, for Member approval.

6. Money Laundering (Pages 11 - 18)

Members will consider a report which will;

- a) summarise the legislative requirements necessary to prevent and detect possible money laundering;
- b) provide details of the interim guidance issued by the Chartered Institute for Public Finance and Accountancy (CIPFA) regarding the application of the legislation within local authorities;
- c) propose appropriate arrangements to ensure that the risk to the Council of money laundering is minimised.

7. The Role of Audit and Governance Committee in Monitoring the Implementation of the Fraud and Corruption Prosecution Policy (Pages 19 - 30)

The purpose of the report is to advise Members of the role of this Committee in monitoring the implementation of the Council's Fraud and Corruption Prosecution Policy.

8. The Role of Audit and Governance Committee in Managing and Monitoring the Implementation of Risk Management
(Pages 31 - 48)

The purpose of this paper is to advise Members of the role of the Audit & Governance Committee in managing and monitoring the process of embedding corporate risk management arrangements at City of York Council and in particular:

- ensuring that the risk management process and framework is effective
- monitoring progress against Comprehensive Performance Assessment (CPA) Key Lines of Enquiry, and
- monitoring the delivery of the risk management development agenda

9. Strategic Audit Plan - Consultation (Pages 49 - 64)

The purpose of the report is to give Members the opportunity to contribute to the annual review and update of the internal audit risk assessment and five year strategic audit plan.

10. Audit Commission Report : Arrangements for the Disposal of the Barbican (Pages 65 - 76)

The purpose of this report is to report to Councillors the findings of Audit Commission's review of the Council's arrangements for the disposal of the Barbican.

11. Annual Monitoring Report: Breaches and Waivers of Financial Regulations 2005/2006. (Pages 77 - 100)

The purpose of this report is to inform Members about unauthorised breaches of the Council's Financial Regulations during the 2005/2006 financial year and any waivers of Financial Regulations approved by the S151 Officer during the year.

12. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972

Democracy Officer:

Name: Fiona Young

Contact details:

- Telephone – (01904) 551024
- E-mail – fiona.young@york.gov.uk

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.